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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application No.	09/896,656 ✓
Filing Date	June 29, 2001
First Named Inventor	Jian Li
Group Art Unit	2818
Examiner Name	D. H. Nguyen
Attorney Docket Number	42390P11559

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
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<input type="checkbox"/> Basic Filing Fee		
<input type="checkbox"/> Declaration/POA		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	September 2, 2003

### CERTIFICATE OF MAILING/TRANSMISSION

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Signature	
Date	September 2, 2003



Docket No.: 42390P11559

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

JIAN LI, ET AL.

Art Group: 2818

Application No.: 09/896,656

Examiner: D. H. Nguyen

Filed: June 29, 2001

For: **DISCRETE POLYMER MEMORY  
ARRAY AND METHOD OF MAKING  
SAME**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

09/05/2003 KBETEHAI 00000042 09896656

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

  
Gregory D. Caldwell, Reg. No. 39,926

Date: September 02, 2003

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
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Irena Urbas 09-02-03  
Date



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~~Substitute for form 1449A/PTO~~

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet 1 of 3

<i>Complete if Known</i>	
Application Number	09/896,656
Filing Date	June 29, 2001
First Named Inventor	Jian Li
Art Unit	2818
Examiner Name	D. H. Nguyen
Attorney Docket Number	42390P11559

**U.S. PATENT DOCUMENTS**

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
1	US-2002-0024835 A1	02-28-2002	Thomson et al.		
2	US-2002-0000005 A1	01-03-2002	Jermier		
3	US-2002-0044480 A1	04-18-2003	Gudesen et al.		
4	US-4,593,456	06-10-1986	Cheung		
5	US-6,314,641 B1	11-13-2001	Akram		
6	US-5,939,775	08-17-1999	Bucci et al.		
7	US-5,843,808	12-01-1998	Karnezos		
8	US-6,324,069 B1	12-27-2001	Weber		
9	US-5,592,643	07-07-1997	Thomas		
10	US-6,210,979	04-03-2001	Kweon et al.		
11	US-6,337,032	01-08-2001	Chivukula et al.		
12	US-6,420,190	07-16-2002	Shimoda et al.		
13	US-5,248,564	09-28-1993	Ramesh		
14	US-5,438,023	08-01-1995	Argos et al.		
15	US-5,519,566	05-21-1996	Perino et al.		
16	US-5,578,867	11-26-1996	Argos et al.		
17	US-5,577,356	07-07-1998	Dhote et al.		
18	US-5,838,035	11-17-1998	Ramesh		
19	US-5,864,932	02-02-1999	Evans et al.		
20	US-6,054,331	04-25-2000	Woo et al.		

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	
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<p style="text-align: center;"><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p>				<b>Complete if Known</b>	
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				First Named Inventor	Jian Li
				Art Unit	2818
				Examiner Name	D. H. Nguyen
				Attorney Docket Number	42390P11559
<b>Sheet</b>	2	<b>of</b>	3		

**OTHER ART - NON PATENT LITERATURE DOCUMENTS**

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet 3 of 3

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U.S. PATENT DOCUMENTS

## **FOREIGN PATENT DOCUMENTS**

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